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CERTIFICATION UNDER 37 CFR 1.8

I hereby certify that this document is being deposited with the United States Postal Service on this date 2/27/01 in an envelope as FIRST CLASS MAIL addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231.

Phyllis Schuster
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<i>Phyllis Schuster</i>
(Signature of person mailing paper)

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of :
Belliveau :
Serial No. 09/716,713 : Group Art Unit:
Filed: November 20, 2000 : Examiner:

Title: LIGHTING DEVICE WITH BEAM :
ALTERING MECHANISM INCORPORATING
A PLURALITY OF LIGHT SOURCES

INFORMATION DISCLOSURE STATEMENT, PTO 1449 FORM,
AND RELATED MATERIAL

Hon. Commissioner of
Patents and Trademarks
Washington, D.C. 20231

Sir:

Enclosed find an Information Disclosure Statement, a PTO-1449 Form, and copies of related materials regarding the patent application mentioned above.

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Respectfully submitted,

A handwritten signature in cursive script, appearing to read "Walter J. Tencza Jr.", written in black ink.

Walter J. Tencza Jr.

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Date: February 27, 2001

Inventor(s): Richard Belliveau



Title: LIGHTING DEVICE WITH BEAM ALTERING MECHANISM
INCORPORATING A PLURALITY OF LIGHT SOURCES

Date Filed: November 20, 2000

Serial No. 09/716,713

INFORMATION DISCLOSURE STATEMENT

Honorable Commissioner of
Patents and Trademarks
Washington, D.C. 20231

Sir:

Applicant submits this statement in accordance with the duty of disclosure under 37 C.F.R. 1.56 and 1.97-1.98. This statement is filed in accordance with 37 C.F.R. 1.97(b)(1), within three months of the filing date of a national application.

The English language references are listed on attached Form PTO-1449 and require no further commentary under 37 C.F.R. 1.98(a)(3).

A listing on Form PTO-1449, is submitted herewith. Applicant respectfully solicits the Examiner's consideration of the cited references and entry thereof into the record of this application.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "Walter J. Tencza Jr.".

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